

<b>Form PTO-1449</b>			ATTORNEY DOCKET NO. <b>AUS920000414US2</b>	SERIAL NO. <b>Not Assigned</b>	 <b>PTO</b> <b>10971 073695</b> <b>1011</b>
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			APPLICANT <b>Ghoshal et al.</b>	FILING DATE <b>February 11, 2002</b>	
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<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
<i>Ek</i>	AA 6,000,225	Dec. 14, 1999	Ghoshal	62/3.7	Apr. 27, 1998
<i>Ek</i>	AB 5,966,941	Oct. 19, 1999	Ghoshal	62/3.7	Dec. 10, 1997
<i>Ek</i>	AC 5,279,128	Jan. 18, 1994	Tomatsu et al.	62/3.4	Oct. 30, 1991
<i>Ek</i>	AD 5,867,990	Feb. 9, 1999	Ghoshal	62/3.7	Dec. 10, 1997
<i>ck</i>	AE 5,130,276	Jul. 14, 1992	Adams et al.	437/225	May 16, 1991
<i>Ek</i>	AF 6,161,388	Dec. 19, 2000	Ghoshal	62/3.7	Dec. 28, 1998
<b>FOREIGN PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
<i>Ek</i>	AG WO 00/08693	Feb. 17, 2000	WIPO	H01L 35/34	X
<i>Ek</i>	AH WO 94/28364	Dec. 8, 1994	WIPO	F25B 21/02	X
<i>Ek</i>	AI 0 667 498 A1	Aug. 16, 1995	Europe	F25B 21/02	X
<i>ck</i>	AJ JP05039966	Feb. 19, 1993	Japan	F25B 21/02	X
<i>ck</i>	AK JP05172424	Jul. 9, 1993	Japan	F25B 21/02	X
<i>Ek</i>	AL JP405226704A	Sep. 3, 1993	Japan	H01L 35/32	X
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>					
<i>Ek</i>	AM	Rinzler et al., "Large-Scale Purification of Single-Wall Carbon Nanotubes: Process, Product, and Characterization", Applied Physics A, 1998, pp. 29-37.			
<i>ck</i>	AN	Smalley, Tubes@Rice, <a href="http://cnst.rice.edu/tubes/">http://cnst.rice.edu/tubes/</a> , April 8, 1999, 8 pages.			
<i>Ek</i>	AO	Http://www.tellurex.com/resource/txfaqc.htm, August 15, 1999, "Frequently Asked Questions", Tellurex Corporation, pp. 1-30.			
<i>ck</i>	AP	Http://www.tellurex.com/resource/introc.htm, August 15, 1999, "An Introduction to Thermoelectrics", Tellurex Corporation, pp. 1-7.			
<i>Ek</i>	AQ	Liu et al., "Fullerene Pipes", <a href="http://www.sciencemag.org">www.sciencemag.org</a> , Science, Vol. 280, May 22, 1998, pp. 1253-1255.			
<i>Ek</i>	AR	Thess et al., "Crystalline Ropes of Metallic Carbon Nanotubes", Science, Vol. 273, July 26, 1996, pp. 483-487.			
DATE CONSIDERED <i>Ek</i>		EXAMINER <i>6/19/03</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					



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Page 1 of 1

Form PTO-1449

ATTORNEY DOCKET NO.  
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10/073,695

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**LIST OF PRIOR ART CITED  
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APPLICANT Ghoshal et al.

FILING DATE February 11, 2002

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EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
ER	AA 4,492,809	Jan. 8, 1985	Dahlberg	136/212	Dec. 4, 1979
ER	AB 5,827,752	Oct. 27, 1998	Ju et al.	438/20	Jul. 23, 1996
ER	AC 5,712,448	Jan. 27, 1998	Vandersande et al.	136/203	Feb. 7, 1996
ER	AD 5,399,238	Mar. 21, 1995	Kumar	156/643	Apr. 22, 1994
ER	AE 5,580,827	Dec. 3, 1996	Akamine	437/225	Nov. 2, 1992

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EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
ER	AF JP5226704A	Sep. 3, 1993	Japan	H01L 35/32	X
ER	AG JP8185794A	Jul. 16, 1996	Japan	H01J 9/02	X
ER	AH DE3818192A1	Dec. 7, 1989	Germany	F25B 21/02	X
ER	AI JP3155376A	Jul. 3, 1991	Japan	H02N 11/00	X

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ER	AJ	Sungtaek et al., "Study of Interface Effects in Thermoelectric Microrefrigerators", Journal of Applied Physics, Vol. 88, No. 7, October 1, 2000, pp. 4135-4139.

DATE CONSIDERED 6/19/03

EXAMINER En. Kuan

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